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PATENT
ATTY. DKT. NO. GE-120432
#5
SP
09-03-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Collier et al.

Serial No.: 10/056,596

Filed: January 24, 2002

For: METHODS AND SYSTEMS
FOR MANAGEMENT AND
CONTROL OF AN
AUTOMATION CONTROL
MODULE

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: Art Unit: 2171
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Patrick W. Rasche, Reg. No. 37,916

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
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Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

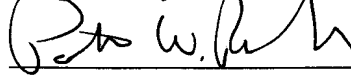
This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
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- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
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- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,



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		Application Number	10/056,596
		Filing Date	January 24, 2002
		First Named Inventor	David Collier et al.
		Group Art Unit	2171
Examiner Name	Dorothy Basma	RECEIVED AUG 28 2003 Technology Center 2100	
Attorney Docket Number	GE-120432		
Sheet	1	of	1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,038,318		Roseman	08-06-1991	
	AB	5,307,463		Hyatt et al.	04-26-1994	
	AC	5,797,037		Ecclesine	08-18-1998	
	AD	5,801,942		Nixon et al.	09-01-1998	
	AE	5,805,442		Crater et al.	09-08-1998	
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	AN					
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
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